# ORTHOGONALLY ADDITIVE AND ORTHOGONALLY MULTIPLICATIVE HOLOMORPHIC FUNCTIONS OF MATRICES 

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Abstract. Let $H: M_{m} \rightarrow M_{m}$ be a holomorphic function of the algebra $M_{m}$ of complex $m \times m$ matrices. Suppose that $H$ is orthogonally additive and orthogonally multiplicative on self-adjoint elements. We show that either the range of $H$ consists of zero trace elements, or there is a scalar sequence $\left\{\lambda_{n}\right\}$ and an invertible $S$ in $M_{m}$ such that

$$
H(x)=\sum_{n \geq 1} \lambda_{n} S^{-1} x^{n} S, \quad \forall x \in M_{m}
$$

or

$$
H(x)=\sum_{n \geq 1} \lambda_{n} S^{-1}\left(x^{t}\right)^{n} S, \quad \forall x \in M_{m}
$$

Here, $x^{t}$ is the transpose of the matrix $x$. In the latter case, we always have the first representation form when $H$ also preserves zero products. We also discuss the cases where the domain and the range carry different dimensions.

## 1. Introduction

Let $E$ and $F$ be real or complex Banach spaces, and $n$ a positive integer. A $\operatorname{map} P: E \rightarrow F$ is called a bounded n-homogeneous polynomial if there is a bounded symmetric $n$-linear operator $T: E \times \cdots \times E \rightarrow F$ such that

$$
P(x)=T(x, \ldots, x), \quad \forall x \in E .
$$

In this case, we have

$$
T\left(x_{1}, \ldots, x_{n}\right)=\frac{1}{2^{n} n!} \sum_{\epsilon_{i}= \pm 1} \epsilon_{1} \cdots \epsilon_{n} P\left(\sum_{i=1}^{n} \epsilon_{i} x_{i}\right), \quad \forall x_{1}, \ldots, x_{n} \in E .
$$

A map $H: U \rightarrow F$ is said to be holomorphic on a nonempty open subset $U$ of $E$ if for each $a$ in $U$ there exist an open ball $B_{E}(a ; r) \subseteq U$, centered at $a$ with radius $r>0$, and a unique sequence of bounded $n$-homogeneous polynomials $P_{n}: E \rightarrow F$ such that

$$
H(x)=\sum_{n=0}^{\infty} P_{n}(x-a)
$$

[^0]uniformly for all $x$ in $B_{E}(a ; r)$.
To study holomorphic functions, we might assume, after translation, $a=0$. A holomorphic function $H: B_{E}(0 ; r) \rightarrow F$ has its Taylor series at zero:
\[

$$
\begin{equation*}
H(x)=\sum_{n=0}^{\infty} P_{n}(x) \tag{1.1}
\end{equation*}
$$

\]

uniformly for all $x$ in $B_{E}(0 ; r)$. In the complex case, we have the Cauchy integral formulae:

$$
\begin{equation*}
P_{n}(x)=\frac{1}{2 \pi i} \int_{|\lambda|=1} \frac{H(\lambda x)}{\lambda^{n+1}} d \lambda, \quad n=0,1,2, \ldots \tag{1.2}
\end{equation*}
$$

For the general theory of homogeneous polynomials and holomorphic functions, we refer to $[11,18]$.

When $E, F$ are Banach algebras, a function $\Phi: E \rightarrow F$ is said to be orthogonally additive if

$$
f g=g f=0 \quad \text { implies } \quad \Phi(f+g)=\Phi(f)+\Phi(g), \quad \forall f, g \in E,
$$

and orthogonally multiplicative if

$$
f g=g f=0 \quad \text { implies } \quad \Phi(f) \Phi(g)=0, \quad \forall f, g \in E .
$$

The notions of orthogonally additive and orthogonally multiplicative transformations have been studied by many authors, for example, $[2,14,15,13,9,1,16,21$, $3,7,19,8,4,20,17]$.

Our goal is to study orthogonally additive and orthogonally multiplicative holomorphic functions between $\mathrm{C}^{*}$-algebras. Every abelian $\mathrm{C}^{*}$-algebra is the algebra $C_{0}(X)$ of continuous functions of a locally compact Hausdorff space $X$ vanishing at infinity. In general, a $\mathrm{C}^{*}$-algebra can be embedded into $B(H)$ as a norm closed self-adjoint subalgebra. When $E, F$ are algebras of continuous functions, it is established in [5] the following nice representation.

Proposition 1.1 ([5]). Let $H: B_{C_{0}(X)}(0 ; r) \rightarrow C_{0}(Y)$ be a bounded orthogonally additive and orthogonally multiplicative holomorphic function. Then there exist a sequence $\left\{h_{n}\right\}$ of bounded scalar continuous functions in $C(Y)$ and a map $\varphi$ : $Y \rightarrow X$ such that

$$
H(f)(y)=\sum_{n \geq 1} h_{n}(y)(f(\varphi(y)))^{n}, \quad \forall y \in Y
$$

uniformly for all $f$ in $B_{C_{0}(X)}(0 ; r)$. Here, $\varphi$ is continuous wherever any $h_{n}$ is nonvanishing.

Talking orthogonality of a pair of elements $a, b$ in a general $\mathrm{C}^{*}$-algebra (in $B(H)$ ), people usually refers one of the following situations.
(i) Zero product: $a b=0$.
(ii) Two side zero product: $a b=b a=0$.
(iii) Range orthogonality: $a^{*} b=0$.
(iv) Initial space or domain orthogonality: $a b^{*}=0$.
(v) Range and domain orthogonality: $a^{*} b=a b^{*}=0$.

In the abelian case, however, all these concepts coincide. They coincide in general when both $a, b$ are self-adjoint.

Some partial results concerning the structures of homogeneous polynomials between general $\mathrm{C}^{*}$-algebras are also given in [5]. For example, we have

Proposition 1.2 ([5]). Let $H$ be a complex Hilbert space of arbitrary dimension. Let $P: B(H) \rightarrow B(H)$ be a bounded n-homogeneous polynomial, which is additive and multiplicative on pairs of orthogonal self-adjoint elements. Suppose that $P(1)$ is invertible or $P(B(H)) \supset B(H)^{+}$. Then there is a nonzero scalar $\lambda$ and an invertible operator $S$ in $B(H)$ such that either

$$
P(x)=\lambda S^{-1} x^{n} S, \forall x \in B(H)
$$

or

$$
P(x)=\lambda S^{-1}\left(x^{t}\right)^{n} S, \forall x \in B(H)
$$

Here, $x^{t}$ is the transpose of a bounded linear operator $x$ in $B(H)$ with respect to some arbitrary but fixed orthogonal basis of the Hilbert space $H$. For a matrix $x=\left(x_{i j}\right)$, we simply define $x^{t}=\left(x_{j i}\right)$ to be the transpose of $x$.

However, results in [5] usually assume a rather strong hypothesis that $P(1)$ is invertible or $P(A) \supset B^{+}$. It is not very likely every summand $P_{n}$ in the Taylor series (1.1) of a holomorphic function $H: B_{A}(0 ; r) \rightarrow B$ would satisfy one of these conditions. Thus, a general structure result about such holomorphic functions is still far away from reaching.

In this paper, we will establish another important case. We will give a description of orthogonally additive and orthogonally multiplicative holomorphic function $H: M_{m} \rightarrow M_{m}$ of complex matrix algebras.

In the following we say that a map $H$ between complex matrices is orthogonally additive (resp. multiplicative) on self-adjoint elements if

$$
H(a+b)=H(a)+H(b)
$$

(resp.

$$
H(a) H(b)=0)
$$

whenever $a, b$ are self-adjoint complex matrices in its domain with $a b=0$.
Theorem 1.3. Let $m$ and $s$ be positive integers with $m \geq 2$ and $m \geq s$. Let $H: B_{M_{m}}(0 ; r) \rightarrow M_{s}$ be a holomorphic function between complex matrix algebras. Assume $H$ is orthogonally additive and orthogonally multiplicative on self-adjoint elements. Then either
(A) the range of $H$ consists of zero trace elements (this case occurs whenever $s<m$ ), or
(B) there exist a scalar sequence $\left\{\lambda_{n}\right\}$ (some $\lambda_{n}$ can be zero) and an invertible $m \times m$ matrix $S$ such that

$$
H(x)=\sum_{n \geq 1} \lambda_{n} S^{-1} x^{n} S, \quad \forall x \in B_{M_{m}}(0 ; r)
$$

or

$$
H(x)=\sum_{n \geq 1} \lambda_{n} S^{-1}\left(x^{t}\right)^{n} S, \quad \forall x \in B_{M_{m}}(0 ; r)
$$

In the case ( $B$ ), we always have the representation ( $\ddagger$ ) when $H$ also preserves zero products, i.e.,

$$
a b=0 \quad \Longrightarrow H(a) H(b)=0, \quad \forall a, b \in B_{M_{m}}(0 ; r)
$$

The proof of Theorem 1.3 will be given in the next section. The following example shows that the exception case in Theorem 1.3 can occur when $s=m$.

Example 1.4. Let $E_{i j}$ be the matrix unit with ' 1 ' at the $(i, j)$ th entry and ' 0 ' elsewhere. Consider the linear map $T: M_{2} \rightarrow M_{2}$ defined by $T\left(E_{11}\right)=E_{12}$, and $T\left(E_{i j}\right)=0$ for all other $i, j$. Then $T$ is an orthogonally multiplicative, and linear (and thus holomorphic) map. It is plain that the range of $T$ consists of nilpotent matrices.

On the other hand, we can have other possibilities when the range have larger dimension than the domain.

Example 1.5. Consider $\theta: M_{k} \rightarrow M_{k+2}$ defined by

$$
\left(a_{i j}\right) \mapsto\left(\begin{array}{cccccc}
0 & a_{11} & a_{12} & \ldots & a_{1 k} & 0 \\
0 & 0 & 0 & \ldots & 0 & a_{11} \\
0 & 0 & 0 & \ldots & 0 & a_{21} \\
& \vdots & & \ddots & & \vdots \\
0 & 0 & 0 & \ldots & 0 & a_{k 1} \\
0 & 0 & 0 & \ldots & 0 & 0
\end{array}\right) .
$$

Then $\theta$ is linear (and thus holomorphic), and orthogonally multiplicative on selfadjiont elements. Note that the range of $\theta$ does not have trivial multiplication, since $\theta\left(E_{11}\right)^{2}=E_{1, k+2}$. However, $\theta$ cannot be written as the form $c \varphi$ for any fixed element $c$ in $M_{k+2}$ and any homomorphism or anti-homomorphism $\varphi: M_{k} \rightarrow$ $M_{k+2}$. Assume on the contrary that $\theta=c \varphi$. Then we arrive at a contradiction

$$
\begin{aligned}
E_{1, k+2} & =\theta\left(E_{11}\right)^{2}=\theta\left(E_{11}\right) c \varphi\left(E_{11}\right)=\theta\left(E_{11}\right) c\left(\varphi\left(E_{12}\right) \varphi\left(E_{21}\right)\right) \\
& \left.=\theta\left(E_{11}\right)\left(c \varphi\left(E_{12}\right)\right) \varphi\left(E_{21}\right)\right)=\theta\left(E_{11}\right) \theta\left(E_{12}\right) \varphi\left(E_{21}\right)=0 \varphi\left(E_{21}\right)=0 .
\end{aligned}
$$

Example 1.6. Consider $\phi: M_{k} \rightarrow M_{2 k+2}$ defined by

$$
\left(a_{i j}\right) \mapsto\left(a_{i j}\right) \oplus \theta\left(a_{i j}\right) .
$$

Here, $\theta$ is the map defined in Example 1.5. Again $\theta$ is linear (and thus holomorphic), and orthogonally multiplicative on self-adjoint elements. However, $\theta$ cannot be written in any form stated in Theorem 1.3(B), although its range contains elements of nonzero trace.

The infinite dimensional case can be more complicated.
Example 1.7. Let $H$ be a separable infinite dimensional Hilbert space with an orthonormal basis $\left\{e_{n}: n=1,2, \ldots\right\}$.
(a) Consider $\theta: B(H) \rightarrow B(H)$ defined by

$$
\left(a_{i j}\right) \mapsto J^{2}\left(a_{i j}\right) J^{* 2}+\left(\begin{array}{cc}
0 & a_{11} \\
0 & 0
\end{array}\right) .
$$

Here, $J: B(H) \rightarrow B(H)$ is the unilateral shift operator sending $e_{n}$ to $e_{n+1}$ for $n=1,2, \ldots$. Then $\theta$ is not of the standard form, while its range contains elements of nonzero trace.
(b) Let $E$ and $F$ be the isometries in $B(H)$ such that $E\left(e_{n}\right)=e_{2 n}$ and $F\left(e_{n}\right)=$ $e_{2 n-1}$ for $n=1,2, \ldots$, respectively. Define a holomorphic function $H$ : $B(H) \rightarrow B(H)$ by

$$
H(a)=E a E^{*}+F\left(a^{t}\right)^{2} F^{*}, \quad \forall a \in B(H)
$$

Then $H$ is orthogonally additive and orthogonally multiplicative, but not zero product preserving. (Readers can make up one preserving zero products easily.) The range of $H$ contains the identity $H(1)=1$. However, it cannot be written in any form stated in Theorem 1.3(B).

## 2. The proofs

We begin with an observation.
Lemma 2.1. Let $H: B_{E}(0 ; r) \rightarrow F$ be a holomorphic function between $C^{*}{ }_{-}$ algebras with Taylor series at zero $H=\sum_{n=0}^{\infty} P_{n}$.
(a) If $H$ is orthogonally additive on self-adjoint elements then each $P_{n}$ is also orthogonally additive on self-adjoint elements.
(b) If $H$ is orthogonally multiplicative on self-adjoint elements then each $P_{n}$ is also orthogonally multiplicative on self-adjoint elements. Indeed, for orthogonal self-adjoint elements $x, y$ in $B_{E}(0 ; r)$ we have

$$
x y=0 \quad \Longrightarrow \quad P_{m}(x) P_{n}(y)=0, \quad m, n=0,1,2, \ldots
$$

Proof. Let $\{x, y\}$ be an orthogonal pair of self-adjoint elements in $B_{E}(0 ; r)$. Suppose first that $H$ is orthogonally additive. For sufficiently small scalar $\alpha$, we have

$$
\begin{aligned}
& H(\alpha x+\alpha y)=\sum_{n} P_{n}(\alpha x+\alpha y)=\sum_{n} \alpha^{n} P_{n}(x+y) \\
= & H(\alpha x)+H(\alpha y)=\sum_{n}\left(P_{n}(\alpha x)+P_{n}(\alpha y)\right)=\sum_{n} \alpha^{n}\left(P_{n}(x)+P_{n}(y)\right) .
\end{aligned}
$$

As $\alpha$ can be arbitrary (but small), we see that

$$
P_{n}(x+y)=P_{n}(x)+P_{n}(y), \quad n=0,1,2, \ldots .
$$

Suppose then $H$ is orthogonally multiplicative. For sufficiently small scalars $\alpha, \beta$, we have

$$
0=H(\alpha x) H(\beta y)=\sum_{m, n} P_{m}(\alpha x) P_{n}(\beta y)=\sum_{m, n} \alpha^{m} \beta^{n} P_{m}(x) P_{n}(y) .
$$

As $\alpha, \beta$ can be arbitrary (but small), we see that

$$
P_{n}(x) P_{m}(y)=0, \quad n, m=0,1,2, \ldots
$$

It follows from Lemma 2.1 that if $H$ is orthogonally additive then $P_{0}=0$.
The following linearization of orthogonally additive $n$-homogeneous polynomials of matrix algebras is an important tool of us. The result for general C*algebras is given by C. Palazuelos, A. M. Peralta and I. Villanueva [19], and M. Burgosy, F. J. Fernández-Poloz, J. J. Garcésx and A. M. Peralta [6], which extend the commutative version of D. Perez-Garcia and I. Villanueva [21] (see also [19]).

Lemma 2.2. Let $F$ be a complex Banach space, and $P: M_{m} \rightarrow F$ an $n$ homogeneous polynomial. If $P$ is orthogonally additive on self-adjoint elements then there exists a linear operator $T: M_{m} \rightarrow F$ such that

$$
P(x)=T\left(x^{n}\right), \quad \forall x \in M_{m} .
$$

Recall that we say a map $\theta$ between rings preserving zero products if $\theta(x) \theta(y)=$ 0 whenever $x y=0$. We say that a set $Z$ of a ring has trivial products, if $x y=0$ for all $x, y$ in $Z$.

Lemma 2.3 ([9, Corollary 2.4]). Let $m$ and $s$ be positive integers with $m \geq 2$ and $m \geq s$. Let $\mathbb{F}$ be an algebraically closed field of characteristic 0 and $\theta: M_{m}(\mathbb{F}) \rightarrow$ $M_{s}(\mathbb{F})$ a linear map preserving zero products. Then either the range of $\theta$ has trivial multiplication, or $m=s$ and there exist an invertible matrix $S$ in $M_{m}(\mathbb{F})$ and a nonzero scalar $c$ such that

$$
\theta(x)=c S^{-1} x S \quad \forall x \in M_{m}(\mathbb{F}) .
$$

Note that the orthogonal multiplicity of an orthogonal additive polynomial $P$ does not guarantee its linearization $T$ preserving zero products. So we cannot apply Lemma 2.3 directly. But when $x, y$ are idempotents with $x y=0$, we have $T(x) T(y)=P(x) P(y)=0$. This suggests we establish the following two lemmas. Fortunately, they are sufficient for our proof of Theorem 1.3.

Lemma 2.4. Let $m$ and $s$ be positive integers with $m \geq 2$ and $m \geq s$. Let $\theta: M_{m} \rightarrow M_{s}$ be a complex linear map. Assume that

$$
\begin{equation*}
\theta(p) \theta(q)=0 \quad \text { whenever } \quad p, q \text { are orthogonal rank one projections. } \tag{2.1}
\end{equation*}
$$

Then either
(A) the range of $\theta$ consists of nilpotent elements (this happens whenever $s<m$ ), or
(B) $m=s$ and there exist an invertible matrix $S$ in $M_{m}$ and a nonzero scalar $\lambda$ such that

$$
\theta(x)=\lambda S^{-1} x S, \quad \forall x \in M_{m}
$$

or

$$
\theta(x)=\lambda S^{-1} x^{t} S, \quad \forall x \in M_{m} .
$$

Proof. Note that (2.1) holds indeed for all orthogonal pairs of self-adjoint matrices (through spectral decompositions). For any projection $p$ in $M_{m}$, we have

$$
(1-p) p=p(1-p)=0
$$

The assumption implies that

$$
\theta(1-p) \theta(p)=\theta(p) \theta(1-p)=0
$$

Hence

$$
\theta(1) \theta(p)=\theta(p) \theta(1)=\theta(p)^{2}
$$

holds for all projections $p$ in $M_{m}$. By the spectral theory, we have

$$
\begin{equation*}
\theta(1) \theta(a)=\theta(a) \theta(1) \tag{2.2}
\end{equation*}
$$

for all self-adjoint, and thus for all, $a$ in $M_{m}$. Moreover,

$$
\theta(1) \theta\left(a^{2}\right)=\theta\left(a^{2}\right) \theta(1)=\theta(a)^{2}
$$

holds for all self-adjoint elements $a$ in $M_{m}$. Considering $(a+b)^{2}$ for two self-adjoint elements $a$ and $b$, we have

$$
\theta(1) \theta(a b+b a)=\theta(a) \theta(b)+\theta(b) \theta(a) .
$$

Since very complex matrix $a$ in $M_{m}$ can be written as $a=b+\sqrt{-1} c$ for two self-adjoint matrices $b$ and $c$, we see that

$$
\begin{equation*}
\theta(1) \theta\left(a^{2}\right)=\theta\left(a^{2}\right) \theta(1)=\theta(a)^{2}, \quad \forall a \in M_{m} . \tag{2.3}
\end{equation*}
$$

It follows further that

$$
\begin{equation*}
\theta(1) \theta(a b+b a)=\theta(a) \theta(b)+\theta(b) \theta(a), \quad \forall a, b \in M_{m} . \tag{2.4}
\end{equation*}
$$

Suppose that there is an $x$ in $M_{m}$ such that $\theta(x)$ is not nilpotent. It follows from (2.2) and (2.3) that

$$
\theta(1)^{s} \theta\left(x^{2}\right)^{s}=\left(\theta(1) \theta\left(x^{2}\right)\right)^{s}=\theta(x)^{2 s} \neq 0
$$

Consequently, $\theta(1)$ is not nilpotent, and thus its spectrum contains a complex number $\lambda \neq 0$. Using the Riesz functional calculus, we have an idempotent $e$ in $M_{s}$ such that $e \theta(1)=\theta(1) e=\lambda e \neq 0$ and $e$ commutes with every matrix commuting with $\theta(1)$ (see, e.g., [10, Prop. 4.11]). Since $\theta(1)$ commutes with all $\theta(a)$ 's, so does $e$. Define $\Psi: M_{m} \rightarrow M_{s}$ by $\Psi(a)=e \theta(a) / \lambda$. It follows from (2.4) that

$$
\Psi(a b+b a)=\Psi(a) \Psi(b)+\Psi(b) \Psi(a), \quad \forall a, b \in M_{m} .
$$

By the well-known theorem of Herstein, we see that either $\Psi=0$, or $\Psi$ is an injective homomorphism or anti-homomorphism. But the first case implies the contradiction $e=\Psi(1)=0$. Hence, the latter case occurs, and we must have $s=m$. This forces $e=1$ and thus $\theta(1)=\lambda$. It follows from the Noether-Skolem theorem that we have one of the expected representations of $\theta$. This completes the proof.

Lemma 2.5. Let $m$ and $s$ be positive integers with $m \geq 2$ and $m \geq s$. Let $\theta: M_{m} \rightarrow M_{s}$ be a complex linear map. Assume that

$$
\theta(e) \theta(f)=0 \quad \text { whenever } \quad e, f \text { are rank one idempotents with ef }=0 .
$$

If the range of $\theta$ does not consist of nilpotent elements then $s=m$, and there exist an invertible matrix $S$ in $M_{m}$ and a nonzero scalar $\lambda$ such that

$$
\theta(x)=\lambda S^{-1} x S, \quad \forall x \in M_{n} .
$$

Proof. Since projections are idempotents, it follows from Lemma 2.4 that $m=s$ and there is an invertible matrix $S$ and a nonzero scalar $\lambda$ such that $\frac{1}{\lambda} S \theta(x) S^{-1}$ is either always $x$ or always $x^{t}$. Consider the idempotents $a, b$ in $M_{m}$ with the top left $2 \times 2$ blocks given below and zero elsewhere, respectively:

$$
\left(\begin{array}{ll}
1 & 0 \\
0 & 0
\end{array}\right) \quad \text { and } \quad\left(\begin{array}{ll}
0 & 0 \\
1 & 1
\end{array}\right)
$$

Then $a b=0$ but $b a \neq 0$. Since $\theta$ preserves zero products, so does $\frac{1}{\lambda} S \theta S^{-1}$. Because $a^{t} b^{t}=(b a)^{t} \neq 0$, we conclude that $\frac{1}{\lambda} S \theta(x) S^{-1}=x$ for all $x$ in $M_{m}$. This gives us the desired assertion.

Proof of Theorem 1.3. It is not difficult to see that the two cases stated in the conclusions are exclusive. Assume from now on $H(d)$ is a matrix in $M_{s}$ of nonzero trace for some $d$ in $B_{M_{m}}(0 ; r)$.

Lemma 2.1 ensures that each summand $P_{n}$ is an orthogonally additive and orthogonally multiplicative $n$-homogeneous polynomial, and the constant term $P_{0}=H(0)=0$. Lemma 2.2 provides a linear map $T_{n}: M_{m} \rightarrow M_{s}$ for each $n$ such that

$$
P_{n}(x)=T_{n}\left(x^{n}\right), \quad \forall x \in M_{m} .
$$

Inherited from $\left\{P_{n}\right\}$, the family $\left\{T_{n}\right\}$ satisfies the orthogonality preserving property stated in (2.1).

Since $H(d)=\sum_{n} T_{n}\left(d^{n}\right)$, the continuity of the trace functional ensures that some $T_{k}\left(d^{k}\right)$ in the sum has nonzero trace. In particular, $T_{k}\left(d^{k}\right)$ is not a nilpotent. Lemma 2.4 ensures $m=s$ and provides an invertible matrix $S_{k}$ and a nonzero scalar $\lambda_{k}$ such that

$$
P_{k}(x)=\lambda_{k} S_{k}^{-1} x^{k} S_{k}, \quad \forall x \in B_{M_{m}}(0 ; r),
$$

or

$$
P_{k}(x)=\lambda_{k} S_{k}^{-1}\left(x^{t}\right)^{k} S_{k}, \quad \forall x \in B_{M_{m}}(0 ; r) .
$$

We claim that all other $P_{n}$ either carries a similar form or constantly zero. Redefining $H(x)$ with $\frac{1}{\lambda_{k}} S_{k} H(x) S_{k}^{-1}$ or $\frac{1}{\lambda_{k}} S_{k} H\left(x^{t}\right) S_{k}^{-1}$, we can assume

$$
P_{k}(x)=x^{k}, \quad \forall x \in B_{M_{m}}(0 ; r) .
$$

Suppose that with a nonzero scalar $\lambda_{n}$ and an invertible $S_{n}$ in $M_{m}$ we have

$$
P_{n}(x)=\lambda_{n} S_{n}^{-1} x^{n} S_{n}, \quad \forall x \in B_{M_{m}}(0 ; r),
$$

or

$$
P_{n}(x)=\lambda_{n} S_{n}^{-1}\left(x^{t}\right)^{n} S_{n}, \quad \forall x \in B_{M_{m}}(0 ; r) .
$$

By Lemma 2.1(b),

$$
x S_{n}^{-1} y S_{n}=S_{n}^{-1} y S_{n} x=0, \quad \text { whenever } x, y \text { are orthogonal projections. }
$$

This forces

$$
S_{n}^{-1} y S_{n}=\alpha_{y} y
$$

with some scalar $\alpha_{y}$ for every rank one projection $y$ in $M_{m}$. In particular, every nonzero vector is an eigenvector of $S_{n}$. Thus $S_{n}=\alpha I$ for some nonzero scalar $\alpha$. In other words, we can assume that $P_{n}(x)=\lambda_{n} x^{n}$ for all $x$ in $B_{M_{m}}(0 ; r)$, or
$P_{n}(x)=\lambda_{n}\left(x^{t}\right)^{n}$ for all $x$ in $B_{M_{m}}(0 ; r)$. However, Lemma 2.1(b) ruins out the possibility of the second case. For example, try the pair of orthogonal projections

$$
a=\frac{1}{2}\left(\begin{array}{cc}
1 & \sqrt{-1} \\
-\sqrt{-1} & 1
\end{array}\right) \oplus 0 \quad \text { and } \quad b=\frac{1}{2}\left(\begin{array}{cc}
1 & -\sqrt{-1} \\
\sqrt{-1} & 1
\end{array}\right) \oplus 0
$$

While $a b=0$, we have $a b^{t} \neq 0$.
Suppose next that there is a $P_{n}$ whose range consists of nilpotent elements. We will verify that $P_{n}=0$. Arguing as above, we have

$$
x T_{n}(y)=T_{n}(y) x=0, \quad \text { whenever } x, y \text { are orthogonal projections. }
$$

This forces

$$
T_{n}(y)=\alpha_{y} y
$$

with some scalar $\alpha_{y}$ for every rank one projection $y$ in $M_{m}$. Since $\beta^{m n} T_{n}(y)^{m}=$ $P_{n}(\beta y)^{m}=0$ for all small scalar $\beta>0$, we see that $\alpha_{y}=0$. Since every self-adjoint matrix is an orthogonal sum of rank one projections, the linear map $T_{n}=0$, and thus $P_{n}=0$, on $M_{m}$.

The claim is established. It follows that there is a scalar sequence $\left\{\lambda_{n}\right\}$ and an invertible $S$ in $M_{m}$ such that

$$
H(x)=\sum_{n \geq 1} \lambda_{n} S^{-1} x^{n} S, \quad \forall x \in B_{M_{m}}(0 ; r)
$$

Translating back to the original situation, there is also another possible case that

$$
H(x)=\sum_{n \geq 1} \lambda_{n} S^{-1}\left(x^{t}\right)^{n} S, \quad \forall x \in B_{M_{m}}(0 ; r)
$$

Finally, assume that $H$ also preserves zero products, and thus so does every $P_{k}$. Consequently, the linearization $T_{k}$ sends two rank one idempotents with zero products to a pair of elements with zero products. By Lemma 2.5, we have $T_{k}(x)=\lambda_{k} S^{-1} x^{k} S$ for all $x$ in $M_{m}$. This forces $H$ carries the first form as in ( $\ddagger$ ). This completes the proof.

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Added in proofs. In a very recent paper [12], J. J. Garcés, A. M. Peralta, D. Puglisi and R. M. Isabel obtain another satisfactory result about orthogonally additive holomorphic functions $H: B_{A}(0 ; r) \rightarrow B$, where $A, B$ are general $\mathrm{C}^{*}$ algebras. Assume that the range of $H$ contains an invertible element, and
$H(a)^{*} H(b)=H(a) H(b)^{*}=0 \quad$ whenever $\quad a b=0$ for self-adjoint $a, b \in B_{A}(0, r)$.

They prove that there exist a sequence $\left\{h_{n}\right\}$ in $B^{* *}$ and Jordan *-homomorphisms $J, \tilde{J}$ from the multiplier algebra $M(A)$ of $A$ into $B^{* *}$ such that

$$
H(a)=\sum_{n \geq 1} h_{n} J\left(a^{n}\right)=\sum_{n \geq 1} \tilde{J}\left(a^{n}\right) h_{n}, \quad \forall a \in B_{A}(0 ; r) .
$$

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