# Bounds on circular consecutive choosability

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#### Abstract

The circular consecutive choosability  $ch_{cc}(G)$  of a graph G has been recently introduced in [2]. In this paper we prove upper bounds on  $ch_{cc}$ for series-parallel graphs, planar graphs and k-choosable graphs. Our bounds are tight for classes of series-parallel graphs and k-choosable graphs for  $k \geq 3$ . Then we study the circular consecutive choosability of generalized theta graphs. Lower bounds for the circular consecutive choosability of certain generalized theta graphs are obtained.

### 1 Introduction

For a positive real number r, let S(r) denote the circle obtained from the interval [0, r] by identifying 0 and r into a single point. For a real number t, denote by  $[t]_r$  the remainder of t upon division by r. For  $a, b \in S(r)$ , the distance between a and b is  $|a-b|_r = \min\{|a-b|, r-|a-b|\}$  and the intervals  $[a,b]_r$  and  $(a,b)_r$  are defined as  $[a,b]_r = \{t \in S(r) : [t-a]_r \leq [b-a]_r\}$  and  $(a,b)_r = \{t \in [0,r) : 0 < [t-a]_r < [b-a]_r\}$ . Suppose G = (V, E) is a graph. A circular r-colouring of G is a mapping  $f : V(G) \to S(r)$  such that for any edge uv of G,  $|f(u) - f(v)|_r \ge 1$ . The circular chromatic number  $\chi_c(G)$  of G is defined as

 $\chi_c(G) = \inf\{r : G \text{ has a circular } r \text{-colouring}\}.$ 

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The circular chromatic number of a graph is a refinement of the chromatic number of a graph, and has been studied extensively in the literature (see [7, 8] for surveys on this subject).

Given a graph G and a positive real number r, a  $(\star, r)$ -circular colourlist assignment for G is a function L that assigns to each vertex v of G a set L(v) which is the union of disjoint closed intervals of S(r). If for each vertex v, the sum of the lengths of the disjoint intervals in L(v) is equal to t, then L is called a (t, r)-circular colour-list assignment. Suppose L is a  $(\star, r)$ -circular colour-list assignment for a graph G. A circular L-colouring of G is a circular r-colouring f of G such that  $f(v) \in L(v)$  for each vertex vof G. A graph G is called circular t-choosable if for any r and for any (t, r)circular colour-list assignment L, G has a circular L-colouring. The circular choosability  $ch_c(G)$  of G (also called the circular list chromatic number of Gand denoted by  $ch_c(G)$ ) is defined in [9] as

#### $ch_c(G) = \inf\{t: G \text{ is circular } t\text{-choosable}\}.$

The definition of circular t-choosable graphs given above is slightly different from the one given in [9]. In [9], the set L(v) assigned to a vertex v by a circular colour-list assignment L is the disjoint union of open intervals. In this paper, L(v) is the disjoint union of closed intervals, which seems to be more convenient for us. This change does affect whether a graph is circular t-choosable or not. However, as the circular choosability of G is by taking the infimum of those t for which G is circular t-choosable, the circular choosability of a graph is the same under both definitions.

The circular consecutive choosability of a graph, introduced in [2], is a variation of circular choosability of a graph and the consecutive choosability of a graph [6].

A  $(\star, r)$ -circular consecutive colour-list assignment of G is a mapping L which assigns to each vertex v of G a closed interval L(v) of S(r). If L(v) has length t for each vertex v, then L is called a (t, r)-circular consecutive colourlist assignment of G. We say G is circular consecutive (t, r)-choosable if for any (t, r)-circular consecutive colour-list assignment L of G, G is circular L-colourable.

Observe that if  $r < \chi_c(G)$ , then for any  $(\star, r)$ -circular colour-list assignment L, G is not circular L-colourable. Therefore, for the definition to be meaningful, we restrict to real numbers  $r \ge \chi_c(G)$ .

**Definition 1.** Suppose  $r \ge \chi_c(G)$ . The circular consecutive choosability of G with respect to r is defined as

 $ch^r_{cc}(G) = \inf\{t: G \text{ is circular consecutive } (t,r)\text{-choosable}\}.$ 

The circular consecutive choosability of G is defined as

$$ch_{cc}(G) = \sup\{ch_{cc}^{r}(G) : r \ge \chi_{c}(G)\}.$$

Equivalently,  $ch_{cc}(G)$  is the infimum of those t such that for any  $r \ge \chi_c(G)$ , G is circular consecutive (t,r)-choosable.

In [2], it was shown that if G is a graph on n vertices, then

$$\chi(G) - 1 \le ch_{cc}(G) \le 2\chi_c(G)(1 - 1/n) - 1.$$

The values of  $ch_{cc}(G)$  for complete graphs, trees, even cycles and balanced complete bipartite graphs were determined. Upper and lower bounds for  $ch_{cc}(G)$  were given for some other graphs.

In this paper, we explore the relation between  $ch_{cc}(G)$  and the choosability ch(G) of G. We prove that if G is a k-choosable graph, then  $ch_{cc}(G) \leq$ k+1-1/k, and if  $k \geq 3$  then this upper bound is tight. A tight upper bound on  $ch_{cc}(G)$  for series-parallel graphs is also presented, namely,  $ch_{cc}(G) \leq 11/3$  for every series-parallel graph G. We show that if G is a planar graph, then  $ch_{cc}(G) \leq 5.8$  and for each  $\epsilon > 0$ , there is a planar graph G with  $ch_{cc}(G) > 4.7 - \epsilon$ . The upper bound  $ch_{cc}(G) \leq k + 1 - 1/k$  for k-choosable graphs is not tight for k = 2. It is conjectured that  $ch_{cc}(G) \leq 2$ for 2-choosable graphs. To prove the conjecture, it amounts to show that the theta graphs  $\theta_{2,2,2k}$  have circular consecutive choosability 2. More generally, one might attempt to characterize graphs with circular consecutive choosability 2. A natural class of graphs that one can study to gain intuition about this question is the class of generalized theta graphs. Suppose  $P_1, P_2, \cdots, P_n$  are paths of lengths  $k_1, k_2, \cdots, k_n$  respectively. The generalized theta graph  $\theta_{k_1,k_2,\cdots,k_n}$  is the graph obtained from the disjoint union of  $P_1, P_2, \dots, P_n$  by identifying their initial vertices into a single vertex and their terminal vertices into a single vertex. It is proved that for any integer  $n \ge 2, ch_{cc}(\theta_{2,2,\ldots,2}) = 2.$  However, for  $n \ne 2, 4, 6, ch_{cc}(\theta_{2,2,2,n}) > 2.$ 

### 2 k-list colourable graphs

A graph G is called k-choosable if for any mapping L which assigns to each vertex v of G a set L(v) of k integers, there is a mapping  $f: V(G) \to \mathbb{Z}$  such that  $f(v) \in L(v)$  for each  $v \in V(G)$  and  $f(u) \neq f(v)$  for each edge uv of G. Our first lemma bounds circular consecutive choosability of k-choosable graphs with respect to given r.

**Lemma 2.** Let  $k \ge 2$  be an integer and let G be a graph with ch(G) = kthen  $ch_{cc}^{r}(G) \le k + (k-1)(r - \lfloor r \rfloor)/\lfloor r \rfloor$  for every  $r \ge \chi_{c}(G)$ .

*Proof.* Let  $s = k + (k-1)(r - \lfloor r \rfloor) / \lfloor r \rfloor$ , and let *L* be an *s*-circular consecutive list assignment of *G* with respect to *r*. For  $l = 0, 1, ... \lfloor r \rfloor - 1$  let  $I_l = [lr/\lfloor r \rfloor, (l+1)r/\lfloor r \rfloor - 1]_r$  be an interval in S(r). For every  $v \in V(G)$  let  $S(v) = \{j \mid I_j \cap L(v) \neq \emptyset\}$ , then  $|S(v)| \ge k$ . As ch(G) = k it is possible to choose  $k(v) \in S(v)$  for every  $v \in V(G)$  so that  $k(v) \neq k(w)$  for every  $vw \in E(G)$ . By the choice of S(v) we can choose  $f(v) \in I_{k(v)} \cap L(v)$  for every  $v \in V(G)$ . It remains to note that for every  $i, j \in \{0, 1, ..., \lfloor r \rfloor - 1\}, i \neq j$ and every  $x \in I_i \ y \in I_j$  we have  $|x - y|_r \ge 1$  and therefore  $|f(v) - f(w)|_r \ge 1$ for every  $vw \in E(G)$ . □

**Corollary 3.** Let  $k \ge 2$  be an integer. If a graph G has list chromatic number k, then  $ch_{cc}(G) \le k + 1 - 1/k$ .

Proof. If  $\chi_c(G) \leq r \leq k$  then  $ch_{cc}^r(G) \leq r \leq k$ . If  $r \geq k$  then  $ch_{cc}^r(G) \leq k + (k-1)(r - \lfloor r \rfloor)/\lfloor r \rfloor < k + (k-1)/k$  by Lemma 2.

We shall show that for  $k \geq 3$ , the upper bound given in Corollary 3 is tight. For this purpose, we need an alternate definition of  $ch_{cc}(G)$  given in [2].

Given positive integers  $p \ge 2q$ , a (p,q)-colouring of a graph G is a mapping  $f : V(G) \to \{0, 1, \dots, p-1\}$  such that for any edge xy of G,  $q \le |f(x) - f(y)| \le p - q$ . For any integer a,  $[a]_p$  denotes the remainder of adivided by p. For  $a, b \in \{0, 1, \dots, p-1\}$ , the circular integral interval  $[a, b]_p$ is defined as

$$[a,b]_{p} = \{a, a+1, a+2, \cdots, b\},\$$

where the additions are modulo p. Suppose G is a graph and p, q are positive integers such that  $p/q \ge \chi_c(G)$ , and s is a positive integer. Let  $l: V(G) \rightarrow$  $\{0, 1, \dots, p-1\}$  be a mapping. A (p, q)-colouring f of G is compatible with (l,s) if for any vertex  $x, f(x) \in [l(x), l(x) + s - 1]_p$ . We say a graph G is *circular consecutive* (p,q)-s-choosable if for any mapping  $l : V(G) \rightarrow \{0, 1, \dots, p-1\}, G$  has a (p,q)-colouring f which is compatible with (l,s). We define the consecutive (p,q)-choosability of G as

 $ch_{p,q}(G) = \min\{s : G \text{ is circular consecutive } (p,q)\text{-}s\text{-choosable}\}.$ 

The following lemma is proved in [2].

**Lemma 4.** For any graph G and for any  $r = p/q \ge \chi_c(G)$ ,

$$ch_{p,q}(G) = \lfloor ch_{cc}^r(G)q \rfloor + 1.$$

Now we prove a technical lemma which is later used to lower bound maximum circular consecutive choosability of graphs of fixed treewidth.

A graph G is called a k-tree if the vertices of G can be ordered as  $v_1, v_2, \dots, v_n$  in such a way that  $\{v_1, v_2, \dots, v_k\}$  induces a  $K_k$ , and for each  $j \geq k+1$ , the set  $N^+(v_j) = \{v_i : i < j, v_i \sim v_j\}$  induces a  $K_k$ . The treewidth of a graph G is the minimum k such that G is a subgraph of a k-tree.

**Lemma 5.** Let  $k \ge 2, p$  and q be positive integers such that  $p/q \ge k$ , and let s be a positive integer. Suppose that every graph G with treewidth at most k - 1 is circular consecutive (p,q)-s-choosable. Then there exists a non-empty family S of k-element subsets of  $\{0, 1, \ldots, p - 1\}$  such that for every  $S \in S$  the following conditions hold

- 1. for every distinct  $x_1, x_2 \in S$  we have  $q \leq |x_1 x_2| \leq p q$ ,
- 2. for every  $X \subset S$  with |X| = k 1 and every  $i \in \{0, 1, \dots, p 1\}$  there exists  $S' \in S$  such that  $S' = X \cup \{x_0\}$  and  $x_0 \in [i, i + s 1]_p$ .

Proof. For a graph H and a (p,q)-colouring f of H let  $\mathcal{S}(H, f)$  denote the family of sets of colours of cliques of size k in H. Choose a graph G of treewidth at most k-1 and a map  $l: V(G) \to \{0, 1, \ldots, p-1\}$  so that the minimum of  $|\mathcal{S}(G, f)|$  over all (p,q)-colourings f of G compatible with (l,s) is maximum. Construct the graph G' and a map  $l': V(G') \to \{0, 1, \ldots, p-1\}$ as follows: For every clique  $W \subseteq V(G)$  with |W| = k - 1 and every  $i \in$  $\{0, 1, \ldots, p-1\}$  create a vertex  $v_W^i$  of degree k - 1 of G' that is joined by edges to vertices of W and set  $l'(v_W^i) = i$ . Let l' be identical to l on V(G). Then G' has treewidth at most k-1. By the choice of G there exists a (p,q)colouring f' of G' compatible with (l', s) such that  $\mathcal{S}(G', f') = \mathcal{S}(G, f')$ . We claim that S = S(G, f') satisfies the requirements of the lemma. Clearly S is non-empty. For every  $S \in S$  there exists a clique  $U \subseteq V(G)$  such that S = f'(U). Therefore the first requirement is satisfied by the definition of (p, q)-colouring. Similarly, for every  $X \subset S$  with |X| = k - 1 there exists a clique  $W \subset U$  such that |W| = k - 1 and X = f'(W) then  $S' = f'(W \cup \{v_W^i\})$  satisfies the second requirement.

**Theorem 6.** For every  $k \geq 3$  and  $\varepsilon > 0$  there exists a graph  $G_{k,\varepsilon}$  such that  $G_{k,\varepsilon}$  has treewidth at most k-1 and  $ch_{cc}(G_{k,\varepsilon}) > k+1-1/k-\varepsilon$ .

Proof. We will show that for every positive integer n and every integer  $k \geq 3$  there exists a graph  $G_{k,n}$  of treewidth at most k-1 that is not circular consecutive (p,q)-s-choosable, where p = nk(k+1) - 2, q = nk and s = nk(k+1) - n - 2. By Lemma 4, for r = k + 1 - 2/nk,  $ch_{cc}(G_{k,n}) \geq ch_{cc}^r(G_{k,n}) > (p-1)/q = (nk(k+1) - n - 2 - 1)/nk = k + (k-1)/k - 3/nk$ . As graphs of treewidth k-1 are k-choosable, this implies the required lower bound on  $ch_{cc}(G)$  for k-choosable graphs.

Suppose, on the contrary, that for some n and some  $k \ge 3$  every graph of treewidth at most k - 1 is circular consecutive (p, q)-s-choosable. By Lemma 5 then there exists a family S of k-element subsets of  $\{0, 1, \ldots, p-1\}$ satisfying the requirements of that lemma.

Choose  $S = \{a_1, \ldots, a_k\} \in S$  so that  $a_1, \ldots, a_k$  appear in  $\{0, 1, \ldots, p-1\}$ in circular order and  $([a_2 - a_1]_p, [a_3 - a_2]_p, \ldots, [a_k - a_{k-1}]_p)$  is lexicographically maximum. Let  $a_{k+1} = a_1$ , by convention.

Consider  $X = S - \{a_2\}$  and  $i = a_1 + \lceil ([a_3 - a_1]_p + n)/2 \rceil$ . Then by condition 2 in Lemma 5 there exists  $S' \in S$  such that  $S' = X \cup \{a'_2\}$  and  $a'_2 \in [i, i + s - 1]$ . Note that  $a'_2 \in [a_1, a_3]_p$ . Otherwise  $a'_2 \in [a_l, a_{l+1}]_p$  for some  $l \geq 3$ , and we obtain contradiction as follows,

$$p = \sum_{j=1}^{l-1} [a_{j+1} - a_j]_p + [a'_2 - a_l]_p + [a_{l+1} - a'_2]_p + \sum_{j=l+1}^k [a_{j+1} - a_j]_p$$
  

$$\ge q(l-1+2+k-l) = q(k+1) = p+2.$$

Since  $a'_2 \notin [i-n, i-1]$ , it follows that

$$|[a_3 - a_2']_p - [a_2' - a_1]_p| \ge n - 1.$$

Hence

 $\max\{[a_3-a_2']_p, [a_2'-a_1]_p\} \ge ([a_3-a_2']_p + [a_2'-a_1]_p + n - 1)/2 = ([a_3-a_1]_p + n - 1)/2.$ 

By the choice of S, we have

$$[a_2 - a_1]_p \ge \max\{[a'_2 - a_1]_p, [a_3 - a'_2]_p\}$$
  
$$\ge ([a_3 - a_1]_p + n - 1)/2 = ([a_3 - a_2]_p + [a_2 - a_1]_p + n - 1)/2.$$

Consequently,

$$[a_2 - a_1]_p \ge [a_3 - a_2]_p + n - 1.$$

By considering  $X = S - \{a_l\}$  for  $l \in \{3, ..., k\}$  and  $i = a_{l-1} + q + n$ , and using an argument similar to the above, we deduce  $[a_l - a_{l-1}]_p \ge q + n$ . A contradiction follows:

$$p = \sum_{j=1}^{k} [a_{j+1} - a_j]_p \ge [a_2 - a_1]_p + (q+n)(k-2) + [a_1 - a_k]_p$$
  
$$\ge q + n + n - 1 + (q+n)(k-2) + q = (q+n)k - 1 = p + 1.$$

Since graphs of treewidth at most (k-1) are k-choosable, Theorem 6 shows that the bound of Corollary 3 is tight.

**Corollary 7.** If G is a series-parallel graph, then  $ch_{cc}(G) \leq 11/3$ . For any  $\epsilon > 0$ , there is a series-parallel graph G with  $ch_{cc}(G) > 11/3 - \epsilon$ .

## 3 Planar graphs

In this section we study bounds on circular consecutive choosability of planar graphs.

**Theorem 8.** For every planar graph G we have  $ch_{cc}(G) \leq 5.8$ . For every  $\varepsilon > 0$  there exists a planar graph  $G_{\varepsilon}$  such that  $ch_{cc}(G_{\varepsilon}) > 4.7 - \varepsilon$ .

*Proof.* The upper bound follows from Theorem 6 and 5-choosability of planar graphs [5].

To obtain the lower bound it suffices to construct, for each positive integer n, a graph  $G_n$  that is not circular consecutive (p,q)-s-choosable, where p = 200n - 1, q = 40n and s = 188n - 1.

Let  $K_4$  be a complete graph on vertex set  $\{a, b, c, d\}$ . For each edge xy of  $K_4$ , we add 6n(200n - 1) paths of length 4 (i.e., paths with 5 vertices) with every vertex in these paths joined by an edge to x and to y. The resulting graph is denoted by  $H_n$ . It is obvious that  $H_n$  is planar.

For each edge e = xy of  $K_4$ , the 6n(200n - 1) paths in  $H_n$  joined to x, y are indexed as  $P_{k,m,e}$ , where  $k, m \in \{0, 1, \ldots, p - 1\}$  and  $50n \leq [m - k]_p < 56n$ .

**Claim 1.** There is a map  $l: V(H_n) \to \{0, 1, \dots, p-1\}$  such that if f is a (p,q)-colouring of  $H_n$  compatible with (l,s), then there is an edge uv of  $H_n$  such that  $56n \leq |f(u) - f(v)|_p \leq 80n - 1$ .

*Proof.* The map l is defined as follows: Suppose  $P_{k,m,e} = (v_1, v_2, v_3, v_4, v_5)$ . Then let  $l(v_1) = l(v_4) = [k + m + 56n]_p, \ l(v_2) = l(v_5) = [k + 156n]_p$ . Let  $l(v_3)$  be arbitrary. Let l(x) be arbitrary for  $x \in \{a, b, c, d\}$ .

Assume to the contrary of the claim, there is a (p,q)-colouring f of  $H_n$ compatible with (l,s) for which there is no edge uv with  $56n \leq |f(u) - f(v)|_p \leq 80n - 1$ . It is obvious that there exist an edge e = xy with  $x, y \in \{a, b, c, d\}$  and  $50n \leq [f(x) - f(y)]_p \leq 80n - 1$ . By our assumption, this implies that  $50n \leq [f(x) - f(y)]_p \leq 56n - 1$ .

Without loss of generality, we assume that f(y) = 0 and  $50n \le f(x) = m \le 56n-1$ . Then we consider the path  $P_{0,m,e} = (v_1, v_2, v_3, v_4, v_5)$ . Because the five vertices of the path are adjacent to both x and y, it follows that for each  $v_j$ ,  $f(v_j) \in [m + 40n, m + 56n - 1]_p \cup [144n, 160n - 1]_p$ . By symmetry, we may assume that  $f(v_3) \in [144n, 160n - 1]_p$ . As  $v_4$  is adjacent to  $v_3$ , we have  $f(v_4) \in [m + 40n, m + 56n - 1]_p$ . Because f is compatible with (l, s) and  $l(v_4) = [m + 56n]_p$ , we conclude that

$$f(v_4) \in [m+40n, m+44n-1]_p.$$

Since  $v_4v_5$  is an edge,  $f(v_5) \in [144n, 160n - 1]_p$ . As f is compatible with (l, s) and  $l(v_5) = [156n]_p$ , we conclude that

$$f(v_5) \in [156n, 160n - 1]_p.$$

Because  $50n \le m \le 56n - 1$ , this implies that

$$56n + 2 \le [f(v_5) - f(v_4)]_p \le 70n - 1.$$

This completes the proof of the claim.

For each edge e = xy of  $H_n$ , we add p paths of length 4 with every vertex in these paths joined by an edge to x and to y. The resulting graph is denoted by  $G_n$ . Obviously,  $G_n$  is planar.

For an edge e = xy of  $H_n$ , the paths in  $G_n$  joined to x, y are indexed as  $P_{k,e}$ , where  $k \in \{0, 1, \ldots, p-1\}$ . Now we extend the map l of  $H_n$  to  $G_n$ . For each edge e = xy of  $H_n$ , the images of l for vertices of the path  $P_{k,e} = (v_1, v_2, v_3, v_4, v_5)$  are defined as follows:  $l(v_1) = l(v_4) = [k + 108n]_p$ and  $l(v_2) = l(v_5) = [k + 160n]_p$ , and  $l(v_3)$  is arbitrary.

Now we claim that there is no (p,q)-colouring of  $G_n$  which is compatible with (l,s). Assume to the contrary that f is a (p,q)-colouring of  $G_n$ compatible with (l,s). By Claim 1, there is an edge e = xy of  $H_n$  such that  $56n \leq [f(x) - f(y)]_p \leq 80n - 1$ . Without loss of generality, we assume that f(y) = 0 and f(x) = m and  $56n \leq m \leq 80n - 1$ . Consider the restriction of f to the path  $P_{0,e}$  of  $G_n$ . Because the five vertices of the path are adjacent to both x and y, it follows that for each  $v_j$ ,  $f(v_j) \in [96n, 160n - 1]_p$ . By symmetry, we may assume that  $f(v_3) \in [128n, 160n - 1]_p$ . As  $v_3v_4$  is an edge, this forces  $f(v_4) \in [96n, 120n - 1]$ . Because f is compatible with (l, s)and  $l(v_4) = 108n$ , we conclude that  $f(v_4) \in [108n, 120n - 1]_p$ . This forces  $f(v_5) \in [148n, 160n - 1]_p$  (as  $v_4v_5$  is an edge). But then f is not compatible with (l, s) (as  $l(v_5) = 160n$ ).

### 4 Generalized theta graphs

If k = 2, then the upper bound in Theorem 6 is not tight. The so called theta graphs are used in characterizing 2-choosable graphs. For positive integers a, b, c, the theta graph  $\theta_{a,b,c}$  is the graph obtained from three disjoint paths  $P_1 = (x_0, x_1, \ldots, x_a), P_2 = (y_0, y_1, \ldots, y_b)$  and  $P_3 = (z_0, z_1, \ldots, z_c)$  by identifying  $x_0, y_0, z_0$  into a single vertex and identifying  $x_a, y_b, z_c$  into a single vertex. Given a graph G, the *heart* of G is the graph H obtained from G by repeatedly deleting degree 1 vertices.

It is proved in [1] that a connected graph G is 2-choosable if and only if the heart of G is  $K_1$  or an even cycle or  $\theta_{2,2,2k}$  for some  $k \ge 1$ . Given a graph G, let

 $\operatorname{mad}(G) = \max\{2|E(H)|/|V(H)|: H \text{ is a subgraph of } G\}.$ 

The following result was proved in [4].

**Theorem 9.** If G is a bipartite graph, then

 $ch_c(G) \leq \mathrm{mad}(G).$ 

Since  $ch_{cc}(G) \leq ch_c(G)$ , for any bipartite graph G, we have  $ch_{cc}(G) \leq mad(G)$ . As a consequence, if the heart of G is  $K_1$  or an even cycle, then  $ch_{cc}(G) \leq 2$ ; if the heart of G is  $\theta_{2,2,2k}$  for some  $k \geq 1$ , then  $ch_{cc}(G) \leq (4k+8)/(2k+3)$ . It was proved in [4] that  $ch_c(K_{2,3}) = 2$ . As  $K_{2,3} = \theta_{2,2,2}$ , we have  $ch_{cc}(\theta_{2,2,2}) \leq ch_c(\theta_{2,2,2}) = 2$ . It was proved in [3] that  $ch_{cc}(\theta_{2,2,4}) = 2$ . Combine these results with Theorem 9, we have the following corollary, which gives a better upper bound on  $ch_{cc}(G)$  for 2-choosable graphs G than that given in Theorem 6.

#### **Corollary 10.** If G is 2-choosable, then $ch_{cc}(G) \leq 20/9$ .

A natural question is to find the tight upper bound on  $ch_{cc}(G)$  for 2choosable graphs G. It was conjectured in [4] that if G is 2-choosable, then  $ch_c(G) \leq 2$ . The following is a weaker conjecture:

### **Conjecture 11.** If G is 2-choosable, then $ch_{cc}(G) \leq 2$ .

To prove Conjecture 11, it suffices to show that  $ch_{cc}(\theta_{2,2,2k}) = 2$  for every  $k \ge 1$ . For  $k \ge 3$ , the question is open. However, even if Conjecture 11 is confirmed, it does not answer the following question:

### **Question 12.** Which graphs G have $ch_{cc}(G) \leq 2$ ?

If G has a vertex x of degree 1, then  $ch_{cc}(G) \leq 2$  if and only if  $ch_{cc}(G - x) \leq 2$ . So a graph G is circular consecutive 2-choosable if and only if the heart of G is circular consecutive 2-choosable. There are graphs G that are not 2-choosable but are circular consecutive 2-choosable. For example, it is shown in [3] that for any odd cycle  $C_n$ ,  $ch_{cc}(C_n) = 2$ .

Also it is easy to show that for any integer  $n \ge 2$ ,  $ch_{cc}(K_{2,n}) = 2$ . Assume  $2 \le r < 4$ ,  $V(K_{2,n}) = \{u, v\} \cup \{x_1, x_2, \dots, x_n\}$ , and L is a 2-circular consecutive colour-list assignment of G with respect to r. Then  $L(u) \cap L(v) \ne \emptyset$ . Let  $f(u) = f(v) = t \in L(u) \cap L(v)$ , and let  $f(x_i) \in L(x_i) \setminus (t-1, t+1)_r$ . Then f is a circular L-colouring of  $K_{2,n}$ .

Observe that  $K_{2,3}$  is  $\theta_{2,2,2}$ . By finding the "theta graph" for  $K_{2,n}$ , we can define generalized theta graphs as follows. Let  $n \geq 2$  and  $k_1, k_2, \dots, k_n \geq 1$ be integers and let  $P_i$  (for  $i = 1, 2, \dots, n$ ) be a path of length  $k_i$ . We denote by  $\theta_{k_1,k_2,\dots,k_n}$  the graph obtained from the disjoint union of  $P_1, P_2, \dots, P_n$ by identifying their initial vertices into a single vertex x and their terminal vertices into a single vertex y. So  $\theta_{a,b}$  is a cycle of length a + b and  $\theta_{a,b,c}$  is the theta graph defined above. As mentioned above, we conjecture that for any positive integer n, the graph  $\theta_{2,2,2n}$  is circular consecutive 2-choosable. On the other hand,  $\theta_{2,2,\ldots,2}$  is simply the graph  $K_{2,n}$  and hence is also circular consecutive 2-choosable.

**Question 13.** For which positive integers  $k_1, k_2, \dots, k_n$ , the generalized theta graph  $\theta_{k_1,k_2,\dots,k_n}$  is circular consecutive 2-choosable?

In the following, we provide some partial answer to this question. First we consider circular *L*-colourings of the graph  $\theta_{2,2,2}$  for some special colourlist assignment *L*.

Let the three paths of length 2 in  $\theta_{2,2,2}$  be  $(x, z_1, x')$ ,  $(x, z_2, x')$  and  $(x, z_3, x')$ . Assume  $0 < \epsilon \le 1/2$ . Let  $0 < \delta \le (1 - \epsilon)/3$  and  $r = 4 - \epsilon$ . Let  $l : V(\theta_{2,2,2}) \to [0, 4 - \epsilon)$  be defined as

$$l(v) = \begin{cases} 0, & \text{if } v = x, \\ 2 + 2\delta, & \text{if } v = x', \\ r - 1 - \delta, & \text{if } v = z_1, \\ r - 1 + 3\delta + \epsilon, & \text{if } v = z_2, \\ 1 + \delta, & \text{if } v = z_3, \end{cases}$$

**Lemma 14.** Let  $l: V(\theta_{2,2,2}) \to [0, 4-\epsilon)$  be defined as above. Let  $L(v) = (l(v), l(v) + 2 + \delta)_r$  for  $v \in \theta_{2,2,2}$ . If f is a circular L-colouring of  $\theta_{2,2,2}$ , then

$$f(x) \in (0, 4\delta + \epsilon)_r$$
 and  $f(x') \in (r - \delta, 3\delta + \epsilon)_r$ .

*Proof.* Assume the lemma is not true and f is a circular L-colouring of  $\theta_{2,2,2}$  for which  $f(x) \notin (0, 4\delta + \epsilon)_r$  or  $f(x') \notin (r - \delta, 3\delta + \epsilon)_r$ .

First we consider the case that  $f(x) \notin (0, 4\delta + \epsilon)_r$ . Then  $f(x) \in [4\delta + \epsilon, 2 + \delta)_r$ . (Refer to Figure 1 for the positions of the intervals  $L(x), L(x'), L(z_1), L(z_2)$  and  $L(z_3)$ .)

Since  $L(z_2) = (r - 1 + 3\delta + \epsilon, 1 + 4\delta + \epsilon)_r$ , this forces  $f(z_2) \in (r - 1 + 3\delta + \epsilon, f(x) - 1]_r$ . As  $L(x') = (2 + 2\delta, 3\delta + \epsilon)_r$ , we must have  $f(x') \in (2 + 2\delta, f(z_2) - 1]_r$ . On the other hand, we have  $f(z_3) \in [f(x) + 1, f(x') - 1]_r$ . The four colours  $f(x), f(z_2), f(x'), f(z_3)$  occur in the circle S(r) in this cyclic order, and every two consecutive colours have distance at least 1. This is a contradiction, because S(r) has length  $r = 4 - \epsilon < 4$ .

If  $f(x') \notin (r - \delta, 3\delta + \epsilon)_r$ , then  $f(x') \in (2 + 2\delta, r - \delta]_r$ . This forces  $f(z_1) \in [f(x') + 1, 1)_r$ , which in turn forces  $f(x) \in [f(z_1) + 1, 2 + \delta)_r$ . As



Figure 1: The intervals  $L(x), L(x'), L(z_1), L(z_2), L(z_3)$ 

 $f(z_3) \in [f(x) + 1, f(x') - 1]_r$  the four colours  $f(x'), f(z_1), f(x), f(z_3)$  occur in S(r) in this cyclic order, and every two consecutive colours have distance at least 1, which leads to the same contradiction.

In the following, we use Lemma 14 to prove that  $\theta_{2,2,2,n}$  has circular consecutive choosability greater than 2, provided that  $n \neq 2, 4, 6$ , and  $\theta_{2,2,2,2,n}$  has circular consecutive choosability greater than 2 if  $n \neq 2, 6$ .

**Theorem 15.** Suppose  $n \ge 0$  is an integer. Then

- 1.  $ch_{cc}(\theta_{2,2,2,2n+1}) \ge 2 + 1/(n+5).$
- 2.  $ch_{cc}(\theta_{2,2,2,2n+8}) \ge 2 + 2/(4n+21).$

*Proof.* Let the graph  $\theta_{2,2,2,k}$  be obtained from the graph  $\theta_{2,2,2}$ , with vertices labeled as in Lemma 14, by adding the path  $(x, y_1, y_2, \dots, y_{k-1}, x')$ .

First we show that  $ch_{cc}(\theta_{2,2,2,n+1}) \geq 2 + 1/(n+5)$  for any  $n \geq 0$ . It suffices to show that for any  $0 < \epsilon \leq 1/2$ , for  $r = 4 - \epsilon$  and for  $\delta = (1-\epsilon)/(n+5)$ , there is a list assignment L which assigns to each vertex v an open interval of length  $2 + \delta$  of S(r), for which there is no circular L-colouring of  $\theta_{2,2,2,2,n+1}$ .

Let  $l: V(\theta_{2,2,2,2n+1}) \to [0, 4 - \epsilon)$  be defined so that the restriction to  $\theta_{2,2,2}$  is the same as in Lemma 14, and

$$l(y_j) = \begin{cases} r + (4+t)\delta + \epsilon - 1, & \text{if } j = 2t + 1\\ r - t\delta, & \text{if } j = 2t. \end{cases}$$

We shall show that there is no circular *L*-colouring of  $\theta_{2,2,2,2n+1}$ . Assume to the contrary that there is a circular *L*-colouring f of  $\theta_{2,2,2,2n+1}$ . By Lemma 14,  $f(x) \in (0, 4\delta + \epsilon)_r$  and  $f(x') \in (r - \delta, 3\delta + \epsilon)_r$ .

Since  $L(y_1) = (r + 4\delta + \epsilon - 1, 1 + 5\delta + \epsilon)_r$  and  $|f(x) - f(y_1)|_r \ge 1$ , we conclude that  $f(y_1) \in (1, 1 + 5\delta + \epsilon)_r$ . Since  $L(y_2) = (r - \delta, 2)_r$  and  $|f(y_1) - f(y_2)|_r \ge 1$ , we have  $f(y_2) \in (r - \delta, 5\delta + \epsilon)_r$ . Inductively, one can show that

$$f(y_{2j+1}) \in (1-j\delta, 1+(j+5)\delta+\epsilon)_r$$
  
$$f(y_{2j}) \in (r-j\delta, (j+4)\delta+\epsilon)_r.$$

In particular,  $f(y_{2n}) \in (r - n\delta, (n+4)\delta + \epsilon)_r$ . As  $f(x') \in (r - \delta, 3\delta + \epsilon)_r$  and  $(n+5)\delta + \epsilon < 1$ , we conclude that  $|f(x') - f(y_{2n})|_r < 1$ , in contrary to the assumption that f is a circular L-colouring of  $\theta_{2,2,2,2n+1}$ . This completes the proof of (1).

Next we prove that  $ch_{cc}(\theta_{2,2,2,2n+8}) \ge 2 + 2/(4n+21)$  for any  $n \ge 0$ .

Let  $\epsilon = \frac{2n+6}{4n+21}$ ,  $r = 4 - \epsilon$  and  $\delta = \frac{2}{4n+21}$ . Let  $l: V(\theta_{2,2,2,2n+8}) \to [0, 4-\epsilon)$  be defined so that the restriction of l to  $\theta_{2,2,2}$  is as defined in Lemma 14 and

$$l(y_j) = \begin{cases} j - 2 + (3+j)\delta + \epsilon, & \text{if } 1 \le j \le 7, \\ 6 + (7+t)\delta + \epsilon, & \text{if } j = 2t \ge 8, \\ 7 - (t-3)\delta, & \text{if } j = 2t + 1 \ge 9 \end{cases}$$

Now we shall prove that  $\theta_{2,2,2,2n+8}$  has no circular *L*-colouring. Assume to the contrary that f is a circular *L*-colouring of  $\theta_{2,2,2,2n+8}$ . By Lemma 14,  $f(x) \in (0, 4\delta + \epsilon)_r$  and  $f(x') \in (r - \delta, 3\delta + \epsilon)_r$ .

Similarly as in the proof of (1), we can prove by induction that for  $j = 1, 2, \dots, 7$ ,

$$f(y_j) \in (j, j + (j+4)\delta + \epsilon)_r.$$

For  $j = 2t \ge 8$ ,

$$f(y_j) \in (8 - (t - 4)\delta, 8 + (t + 8)\delta + \epsilon)_r.$$

For  $j = 2t + 1 \ge 9$ ,

$$f(y_j) \in (7 - (t - 3)\delta, 7 + (t + 8)\delta + \epsilon)_r.$$

In particular,

$$f(y_{2n+7}) \in (7 - n\delta, 7 + (n+11)\delta + \epsilon)_r$$

However, it is straightforward to verify that for any  $a \in (r - \delta, 3\delta + \epsilon)_r$ , for any  $b \in (7 - n\delta, 7 + (n + 11)\delta + \epsilon)_r$ ,  $|a - b|_r < 1$ . This is in contrary to our assumption that f is a circular *L*-colouring of  $\theta_{2,2,2,2n+8}$ . This completes the proof of (2).

We do not know whether  $ch_{cc}(\theta_{2,2,2,2n}) > 2$  for n = 2, 3. The next lemma shows that  $ch_{cc}(\theta_{2,2,2,2,4}) > 2$ .

**Theorem 16.**  $ch_{cc}(\theta_{2,2,2,2,4}) \ge 2 + 1/8.$ 

*Proof.* Similar to Lemma 14, we first consider circular *L*-colourings of  $\theta_{2,2,2,2}$ , which is obtained from the graph  $\theta_{2,2,2}$  in Lemma 14 by adding the path  $(x, z_4, x')$ . Let  $l: V(\theta_{2,2,2,2}) \to [0, 4 - \epsilon)$  be defined such that the restriction of l to  $\theta_{2,2,2,2} \setminus \{z_4\}$  is the same as in Lemma 14, and let  $l(z_4) = r - 1 + \delta + \epsilon/2$ .

**Claim 2.** If f is a circular L-colouring of  $\theta_{2,2,2,2}$  then either

$$f(x) \in (0, 2\delta + \epsilon/2)_r$$
, and  $f(x') \in (-\delta, 2\delta + \epsilon/2)_r$ 

or

$$f(x) \in (\delta + \epsilon/2, 4\delta + \epsilon)_r$$
, and  $f(x') \in (\delta + \epsilon/2, 3\delta + \epsilon)_r$ .

*Proof.* If the claim is not true, then by using Lemma 14, we conclude that one of f(x), f(x') lies in the interval  $(-\delta, \delta + \epsilon/2]_r$  and the other lies in the interval  $[2\delta + \epsilon/2, 4\delta + \epsilon)_r$ . Since  $z_4$  is adjacent to both x and x', there is no legal colour for  $z_4$  in the interval  $L(z_4)$ . This proves the claim.

Let  $l: V(\theta_{2,2,2,2,4}) \to [0, 4 - \epsilon)$  be defined so that the restriction of l to  $\theta_{2,2,2,2}$  is as in Claim 2 and for  $j = 1, 2, 3, l(y_j) = j - 2 + (3 + j)\delta + \epsilon$ . We shall show that, for appropriate  $\epsilon$  and  $\delta$ ,  $\theta_{2,2,2,4}$  has no circular *L*-colouring. Assume to the contrary that f is a circular *L*-colouring of  $\theta_{2,2,2,2,4}$ .

Let  $\epsilon = 1/2$  and let  $\delta = 1/8$ . By Claim 2, we have two cases. Case 1

$$f(x) \in (0, 2\delta + \epsilon/2)_r$$
, and  $f(x') \in (-\delta, 2\delta + \epsilon/2)_r$ .

By using the proof of Theorem 15, we can show that  $f(y_3) \in (3, 3+7\delta + \epsilon)_r$ . Since  $\epsilon = 1/2$  and  $\delta = 1/8$ , straightforward calculation shows that for any  $a \in (-\delta, 2\delta + \epsilon/2)_r$ , for any  $b \in (3, 3+7\delta + \epsilon)_r$ , we have  $|a-b|_r < 1$ , in contrary to our assumption that f is a circular L-colouring of  $\theta_{2,2,2,2,4}$ .

Case 2

$$f(x) \in (\delta + \epsilon/2, 4\delta + \epsilon)_r$$
, and  $f(x') \in (\delta + \epsilon/2, 3\delta + \epsilon)_r$ .

Observe that, in comparison with Case 1, the possible colour of f(x) is "shifted to the right" by a distance of  $\delta + \epsilon/2$ . By using the argument as in the proof of Theorem 15, we can show that  $f(y_3) \in (3 + \delta + \epsilon/2, 3 + 7\delta + \epsilon)_r$ . Again, straightforward calculation shows that for any  $a \in (\delta + \epsilon/2, 3\delta + \epsilon)_r$ , for any  $b \in (3 + \delta + \epsilon/2, 3 + 7\delta + \epsilon)_r$ , we have  $|a - b|_r < 1$ , in contrary to our assumption that f is a circular L-colouring of  $\theta_{2,2,2,2,4}$ .

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